Company Name: **\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_**

Company Number: **\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_**

(the “Entity”) Date (format: dd/mm/yyyy): **\_\_\_\_/\_\_\_\_/\_\_\_\_\_\_\_\_**

**BVI Register of Members and Beneficial Ownership Information – Data Form**

* *Please provide one form for each Shareholder, Beneficial Owner, Exempted Owner and Nominator*

**A-1. Basic Information - Individual**

|  |  |
| --- | --- |
| Given Name(s): **\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_**  | Surname: **\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_** |
| Alias: **\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_**  |
| Former Given Name(s): **\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_**  | Former Surname: **\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_**  |
| Place of Birth: **\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_**  | Nationality: **\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_**  |
| Birth Date: **\_\_\_\_/\_\_\_\_/\_\_\_\_\_\_\_\_**  | Gender: [ ]  Male [ ]  Female [ ]  Other | Occupation: **\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_**  |

**A-2. Basic Information - Legal Entity (“LE”)**

|  |
| --- |
| Name: **\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_**  |
| Company Number: **\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_**  | Place of Incorp.: **\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_**  | Date of Incorp.: **\_\_\_\_/\_\_\_\_/\_\_\_\_\_\_\_\_**  |
| [ ]  The address provided in Section B is the Principal Address.  |
| **A-2a. Exempted Owner** (*Complete this section and section D. Ownership and Control if the LE is an Exempted Owner*) |
| [ ]  Trustee under BVI Bank and Trust Companies Act |

|  |  |
| --- | --- |
| [ ]  Listed Company  | Stock Exchange Name: **\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_**  |
| Ticker Symbol: **\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_**  | Listing Date: **\_\_\_\_/\_\_\_\_/\_\_\_\_\_\_\_\_**  |
| Stock Exchange Regulator: **\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_**  | Country: **\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_**  |
| [ ]  BVI Fund | License Number: **\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_**  |
| [ ]  Foreign Fund(*Please provide a copy of license*) | Fund Type (select one): [ ]  Approved Fund [ ]  Incubator Fund [ ]  Private Fund [ ]  Private Investment Fund [ ]  Professional Fund [ ]  Public Fund |
| [ ]  The Foreign Fund a Segregated Portfolio Company (SPC) |
| Disclosure and Transparency Rules: **\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_**  |
| International Standards: **\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_**  |

**B. Address** (*In case of Beneficial Owner, enter residential address. For LE, enter Registered Office or Principal Address.*)

|  |
| --- |
| Line 1: **\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_**  |
| Line 2: **\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_**  |
| City, State and Zip Code: **\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_**  | Country: **\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_**  |

**C. Shareholding** (C*omplete this section if the individual or LE is a shareholder*)

|  |  |
| --- | --- |
| Date Entered as Member: **\_\_\_\_/\_\_\_\_/\_\_\_\_\_\_\_\_**  | Date Ceased as Member: **\_\_\_\_/\_\_\_\_/\_\_\_\_\_\_\_\_**  |
| Number of Shares Held: **\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_**  | Share Certificate Number, if applicable: **\_\_\_\_\_\_\_\_\_**  |
| Class of Shares: **Ordinary**  | Series, if appliable: **\_\_\_\_\_\_\_\_\_\_\_**  | [ ]  No Voting Rights |
| [ ]  This Individual or LE is acting as a Nominee Shareholder (*Please complete a separate form for each Nominator*) |

**C-1. Mortgage and Charge** (C*omplete this section if the shares are mortgaged or charged*)

|  |
| --- |
| Name of Mortgagee or Chargee: **\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_**  |
| Type: [ ]  Mortgage [ ]  Charge  | Date Entered: **\_\_\_\_/\_\_\_\_/\_\_\_\_\_\_\_\_**  | Date Discharged: **\_\_\_\_/\_\_\_\_/\_\_\_\_\_\_\_\_**  |
| Statement: **\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_**  |

**C-2. Nominator** (*Complete this section if another shareholder is acting as a Nominee Shareholder*)

|  |
| --- |
| Name of Nominee Shareholder: **\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_**  |
| Date entered as Nominator: **\_\_\_\_/\_\_\_\_/\_\_\_\_\_\_\_\_**  | Date Ceased as Nominator: **\_\_\_\_/\_\_\_\_/\_\_\_\_\_\_\_\_**  |

**C-3. Joint Shareholding** (*Complete a separate form for each joint shareholder*)

|  |
| --- |
| If shares are held jointly, select the type of joint holding: [ ]  Joint Ownership [ ]  Tenants in Common |
| Names of Joint Shareholders: **\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_**  |

**D. Ownership and Control** (*Complete this section if the individual is a Beneficial Owner or the LE is an Exempted Owner*)

|  |  |
| --- | --- |
| Effective Date: **\_\_\_\_/\_\_\_\_/\_\_\_\_\_\_\_\_**  | Cessation Date: **\_\_\_\_/\_\_\_\_/\_\_\_\_\_\_\_\_**  |
| ***Ownership (direct or indirect):*** |
| [ ]  Share Percentage (min 10%): **\_\_\_\_\_\_\_** %; Voting Rights Percentage, if different from shares (min 10%): **\_\_\_\_\_\_\_** % |
| ***Control:*** |
| [ ]  Power to appoint or remove: (tick as appropriate) [ ]  senior management [ ]  directors [ ]  partners |
| [ ]  Power to direct or veto: (tick as appropriate) [ ]  investment decisions [ ]  profit share [ ]  capital returns of assets |
| [ ]  Control through debt instrument | [ ]  Power to direct amendments to constitutional documents |
| [ ]  Senior Management Official  | [ ]  Other, please specify: **\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_**  |